

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number : 10/718,071 Confirmation No. 8658  
 Applicant : BERTILSSON et al.  
 Filed : November 20, 2003  
 TC/A.U. : 1617  
 Examiner : Not Yet Assigned  
 Docket No. : 21882-517 UTIL  
 Customer No. : 35437  
 For : **COMPOUNDS AND METHODS FOR INCREASING NEUROGENESIS**

**MAIL STOP MISSING PARTS**

Commissioner for Patents  
 P. O. Box 1450  
 Alexandria, VA 22313-1450

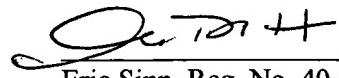
**RESPONSE TO NOTICE TO FILE MISSING PARTS**

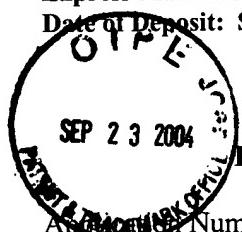
In response to the Notice To File Missing Parts of Nonprovisional Application mailed March 3, 2004, Applicants submit herein an executed Combined Declaration and Power of Attorney form (executed in one counterpart, 11 pages), payment of the surcharge of \$65.00 (Check No. 2155) as set forth in 37 C.F.R. §1.16(e), a copy of the Notice to File Missing Parts of Nonprovisional Application, Petition for a Five-Month Extension of Time, a check in the amount of \$1,005.00 (Check No. 2157) to cover the Petition fee, Request for Corrected Filing Receipt; copy of Corrected Filing Receipt; Preliminary Amendment to Accompany Sequence Listing; Statement of Support of Computer Readable Form Submission, Computer Readable Form Copy of the Sequence Listing (1 diskette) and paper copy of Sequence Listing. This response is due on or before October 3, 2004 with a five-month extension.

Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 21882-517 UTIL, Customer Number 35437.

Respectfully submitted,

Date: September 23, 2004

  
 Eric Sinn, Reg. No. 40,177  
 Caryn DeHoratius Reg. No. 45,881  
 MINTZ, LEVIN, COHN, FERRIS, et al.  
 Attorneys/Agents for Applicants  
 666 Third Avenue, 24<sup>th</sup> Floor  
 New York, NY 10017  
 Telephone: (212) 935-3000  
 Telefax: (212) 983-3115



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P. O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Transmitted herewith for filing in the above-referenced patent application are the following documents:

1. Response to Notice to File Missing Parts under 37 CFR 1.52 or 1.53 (1 page);
2. Copy of Notice to File Missing Parts of Nonprovisional Application (2 pages);
3. Check No. 2155 in the amount of \$65.00 to cover the surcharge;
4. Executed Combined Declaration and Power of Attorney, one counterpart (9 pages);
5. Petition for Five-Month Extension of Time (1 page);
6. Check No. 2157 for \$1,005.00 to cover the Five-Month Extension;
7. Request for Corrected Filing Receipt (2 pages);
8. Copy of Corrected Filing Receipt; (2 pages);
9. Preliminary Amendment to Accompany Sequence Listing (3 pages);
10. Paper Copy of Sequence Listing (18 pages);
11. Computer Readable Form Copy of the Sequence Listing (1 diskette);
12. Statement in Support of Sequence Listing Submission (1 page);
13. Return postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (212) 935-3000, New York, New York. A duplicate copy of this transmittal letter is enclosed.

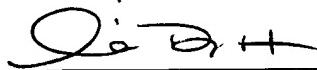
Express Mail Label No.: EL991159540US  
Date of Deposit: September 23, 2004

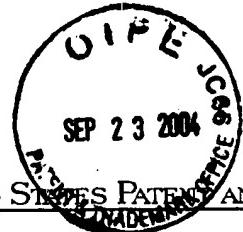
Attorney Docket No.: 21882-517 UTIL

The Commissioner is authorized to charge any additional fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311, Ref. No. 21882-517 UTIL, Customer Number: 35437.

Respectfully submitted,

Date: September 23, 2004

  
Eric Sinn, Reg. No. 40,177  
Caryn DeHoratius Reg. No. 45,881  
MINTZ, LEVIN, COHN, FERRIS, *et al.*  
Attorneys/Agents for Applicants  
666 Third Avenue, 24<sup>th</sup> Floor  
New York, NY 10017  
Telephone: (212) 935-3000  
Telefax: (212) 983-3115



09-24-04

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
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Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/718,071	11/20/2003	Goran Bertilsson	21882-517 UTIL

## CONFIRMATION NO. 8658

## FORMALITIES LETTER



\*OC000000012017437\*

Mintz, Levin, Cohn, Ferris,  
Glovsky and Popeo, P.C.  
The Chrysler Center  
666 Third Avenue, 24th Floor  
New York, NY 10017

Date Mailed: 03/03/2004

5/3/04

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/27/2004 MMKONEN 00000115 10718071

FILED UNDER 37 CFR 1.53(b)

01 FC:2051

65.00 OP

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216

- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

- \$65 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE